

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006	Application No. 09/614,991
Information Disclosed Statemnt by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))			Applicant PLESS et al.	
			Filing Date July 12, 2000	Group Art Unit 3739

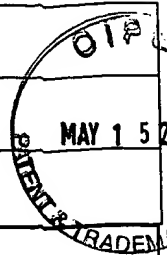
O I P E
 MAY 15 2003
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EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)
	C17	Hunt, John W., "Application of Microwave, Ultrasound and Radiofrequency Heating," <u>Natl Cancer Inst Monogr</u> , (1982); 61: 447-456
	C18	Hynynen et al., "Cylindrical Ultrasonic Transducers for Cardiac Catheter Ablation," <u>IEEE Transactions on Biomedical Engineering</u> , 1997;44(2):144-151.
	C19	Inoue et al., "Video Assisted Thoracoscopic and Cardioscopic Radiofrequency Maze Ablation," <u>ASAIO Journal</u> , 1997;43:334-337.
	C20	Jumrussirikul et al., "Prospective Comparison of Temperature Guided Microwave and Radiofrequency Catheter Ablation in the Swine Heart," <u>PACE</u> (1998); 21: 1364-1374
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	C22	Liem et al. "Microwave Catheter Ablation Using a Clinical Prototype System with a Lateral Firing Antenna Design," <u>PACE</u> , (1998); 21 [pt 1]: 714-721
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	C25	Mittleman et al., "Use of the Saline Electrode Catheter for Improved Energy Delivery and Increased Lesion Size in Radiofrequency Catheter Ablation," <u>PACE</u> , (1995); 18[Pt 1]: 1022-1027
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	C27	Olgin et al., "Electrophysical Effects of Long. Linear Atrial Lesions Placed Under Intracardiac Ultrasound Guidance," <u>Circulation</u> , 1997;96(8):2715-2721.
	C28	Petersen et al., "Tissue Temperatures and Lesion Size During Irrigated Tip Catheter Radiofrequency Ablation:....," <u>PACE</u> , (2000); 23: 8-17
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	C32	Sosa et al., "Radiofrequency Catheter Ablation of Ventricular Tachycardia Guided by Nonsurgical Epicardial Mapping in Chronic Chagasic heart Disease," <u>PACE</u> , January 1999; 22 (Part I), 128-130.
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	C34	Stone et al., "Ablation of Atrial Fibrillation by the Maze Procedure," <u>Surgical Forum</u> , <u>Cardiothoracic Surgery</u> , date unknown, 213-215.					
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	C37	Von Oppell et al., "Effectiveness of two radiofrequency ablation systems in atrial tissue," <u>Euro J of Cardio-thoracic Surg</u> , (2001); 20: 956-960					
	C38	Watanabe et al., "Experimental Application of Microwave Tissue Coagulation to Ventricular Myocardium," <u>Ann Thorac Surg</u> , (1999); 67: 666-671					
	C39	Weber, "Laser versus Radiofrequency Catheter Ablation of Ventricular Myocardium in Dogs: A Comparative Test," <u>Cardiology</u> , 1997: 88:346-352.					
	C40	Whayne et al., "Microwave Catheter Ablation of Myocardium In Vitro..." <u>Circulation</u> (1994); 89: 2390-2395					
	C41	Williams et al., "Surgical Treatment of Atrial Fibrillation Using Radiofrequency Energy," <u>Ann Thorac Surg</u> , (2001); 71: 1939-1944					
	C42	Wonnell et al., "Evaluation of Microwave and Radio Frequency Catheter Ablation in a Myocardium-Equivalent Phantom Model," <u>IEEE Transactions on Biomedical Engineering</u> , 1992;39(10):1086-1095.					
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003-006Application No.
09/614,991**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
PLESS et al.Filing Date
July 12, 2000Group Art Unit
3739**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
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	A2	4,802,475	02/1989	Weshahy		
	A3	4,815,470	03/1989	Curtis et al.		
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	A7	5,080,102	01/1992	Dory		
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	A9	5,147,355	09/1992	Friedman et al.		
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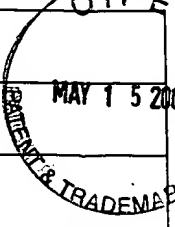
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	
	A72	5,688,267	11/1997	Panescu et al.			
	A73	5,690,611	11/1997	Swartz et al.			
	A74	5,697,925	12/1997	Taylor			
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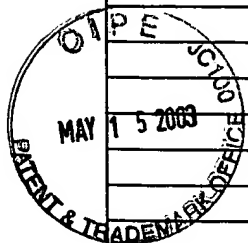
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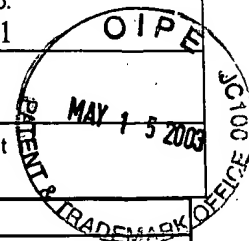
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANS- LATION?
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	B3	WO 95/10318	04/1995	PCT			
	B4	WO 95/10319	04/1995	PCT			
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	B7	WO 95/30380A	11/1995	PCT			
	B8	GB 2 289 510A	11/1995	UK			
	B9	WO 96/10961	04/1996	PCT			
	B10	WO 97/06727	02/1997	PCT			
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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 003-006		Application No. 09/614,991	
Information Disclosed by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))				Applicant PLESS et al.			
				Filing Date July 12, 2000		Group Art Unit 3739	
	B36	WO 01/82778A2	11/2001	PCT			
	B37	WO 02/05720A1	01/2002	PCT			
	B38	WO 02/05722A1	01/2002	PCT			
	B39	WO 02/05868A2	01/2002	PCT			
	B40	WO 02/07777A2	10/2002	PCT			
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	B42	WO 02/09610A1	02/2002	PCT			
	B43	WO 02/21995A2	03/2002	PCT			
	B44	WO 02/26142A1	04/2002	PCT			
	B45	WO 02/30310A1	01/2002	PCT			
	B46	WO 02/40093	05/2002	PCT			
	B47	WO 02/45608A2	06/2002	PCT			



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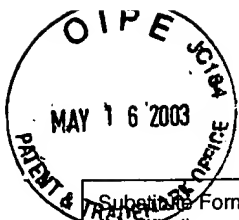
Sheet 8 of 10

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006	Application No. 09/614,991
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant PLESS et al.	
		Filing Date July 12, 2000	Group Art Unit 3739

EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)
	C1	AFx, inc., "FLEX Surgical Ablation Device: Instructions for Use," Document No. 900044 Rev A; March 28, 2000: 1-9
	C2	AFx, inc., "AFx Microwave Generator, Series 1000; User's Manual," Document No. 900067/A/1310, (2000): 1-13
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	C4	AFx, inc., "LYNX Surgical Ablation Device: Instructions for Use," Document No. 900024/K/1114: 1-4
	C5	Boston Scientific, EP Technologies, "Cobra® Electrosurgical Unit, Operator's Manual: 4810 & 4811," Document No. 11292-001 EAW Ver AC, Feb 2000; pp. 1-1 thru 9-2
	C6	Boston Scientific, EP Technologies, "Cobra® Surgical Probe; Directions for Use," Document No. 13954-001 Rev A, October 2001: page 3
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	C9	Chevalier, et al., "Thoracoscopic Epicardial Radiofrequency Ablation for Vagal Atrial Fibrillation in Dogs," <u>PACE</u> June 1999; 22 (Part I), 880-886.
	C10	Cox et al., "The Surgical Treatment of Atrial Fibrillation, IV Surgical Technique," <u>J Thorac Cardiovasc Surg</u> , 1991; 101: 584-592.
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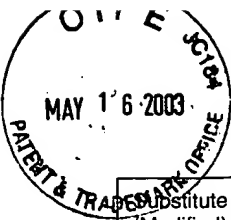
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Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant PLESS et al.	
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MP	A1	3,862,627	01/1975	Hans, Sr.		
	A2	4,802,475	02/1989	Weshahy		
	A3	4,815,470	03/1989	Curtis et al.		
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	A14	5,263,493	11/1993	Avitall		
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	A16	5,281,215	01/1994	Milder		
	A17	5,295,484	03/1994	Marcus et al.		
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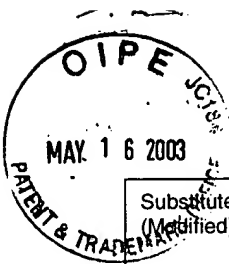
Examiner Signature 	Date Considered 10/28/03
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Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006	Application No. 09/614,991
	Applicant PLESS et al.		
	Filing Date July 12, 2000		Group Art Unit 3739

EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
MP	A35	5,469,853	11/1995	Law et al.	RECEIVED MAY 21 2003 TECHNOLOGY CENTER R3700	
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	A37	5,487,385	01/1996	Avitall		
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	A40	5,520,682	05/1996	Baust et al.		
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	A50	5,578,007	11/1996	Imran		
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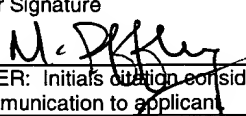
Examiner Signature <i>M. P. Kelly</i>	Date Considered 10/28/03
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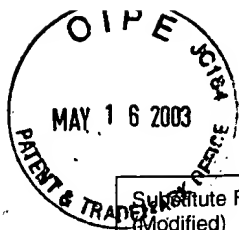


Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006	Application No. 09/614,991
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant PLESS et al.	
		Filing Date July 12, 2000	Group Art Unit 3739

EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
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EXAMINER: Initials of citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Small Entity Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006	Application No. 09/614,991
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant PLESS et al.	
		Filing Date July 12, 2000	Group Art Unit 3739

EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
mp	A146	6,302,880 B1	10/2001	Schaer		
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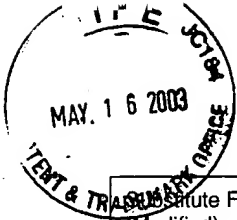
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	Applicant PLESS et al.		
	Filing Date July 12, 2000	Group Art Unit 3739	

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up	B1	GB 2 094 636	09/1982	UK			
	B2	AU A-70522/96	11/1994	Australia			
	B3	WO 95/10318	04/1995	PCT			
	B4	WO 95/10319	04/1995	PCT			
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	B44	WO 02/26142A1	04/2002	PCT			
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Examiner Signature <i>W. Pfluy</i>	Date Considered 10/28/03
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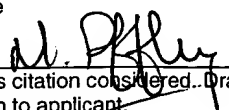
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006	Application No. 09/614,991
		Applicant PLESS et al.	
		Filing Date July 12, 2000	Group Art Unit 3739

**Information Disclosure Statement
by Applicant**
(Use several sheets if necessary)
(37 CFR §1.98(b))

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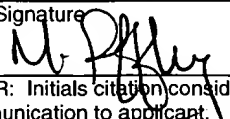
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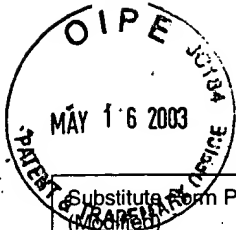
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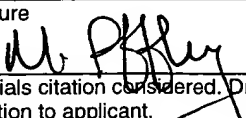
U.S. Patent and Trademark Office U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 003-006	Application No. 09/614,991
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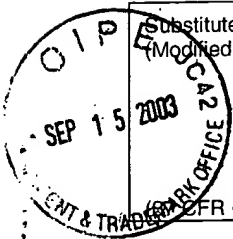
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		Filing Date July 12, 2000	Group Art Unit 3739

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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	AJ						
	AK						

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							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

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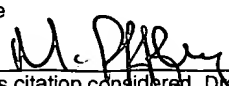
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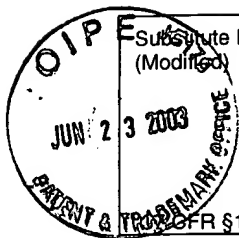
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	AAA	WO 97/41793	11/13/1997	PCT				
	ABB	WO 97/45156	12/04/1997	PCT				
	ACC	WO 98/17187	04/30/1998	PCT				
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 Substitute Form PTO-1449
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 U.S. Department of Commerce
Patent and Trademark Office

 Attorney's Docket No.
003-006

 Application No.
09/614,991

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

 Applicant
PLESS et al.

 Filing Date
July 12, 2000

 Group Art Unit
3739

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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	AS	WO 95/10978	04/27/1995	PCT				
	AT	WO 96/26675	09/06/1996	PCT				
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